

# FACTS

## WHAT DOES KCG INVESTMENT ADVISORY SERVICES, LLC DO WITH YOUR PERSONAL INFORMATION?

<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
<b>What?</b>	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> <li>• Social Security number, tax identification number, and employee identification number</li> <li>• Account balances, income, and total net worth</li> </ul>
<b>How?</b>	All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons we choose to share; and whether you can limit this sharing.

Reasons we can share your personal information	Do we share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	Yes	Yes
<b>For joint marketing with other financial companies</b>	No	N/A
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	N/A	N/A
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	N/A	N/A
<b>For our affiliates to market to you</b>	N/A	N/A
<b>For non-affiliates to market to you</b>	No	N/A

<b>To Limit Our Sharing</b>	<b>Complete the Form Below</b>
	<i>Please note: If you are a new client, we can begin sharing your information from the date you received this notice. When you are no longer our client, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.</i>

<b>Questions?</b>	Call (912) 335-8071
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Who We Are	
<b>Who is providing this notice?</b>	KCG Investment Advisory Services LLC
What We Do	
<b>How do we protect your personal information?</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
<b>How do we collect your personal information?</b>	We collect your personal information, for example, when you <ul style="list-style-type: none"> <li>• Open an account or deposit money</li> <li>• Enter into an advisory contract</li> <li>• Tell us about your investment or retirement portfolio</li> </ul>
<b>Why can't you limit all sharing?</b>	Federal law gives you the right to limit only <ul style="list-style-type: none"> <li>• Sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>• Affiliates from using your information to market to you</li> <li>• Sharing for non-affiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>
<b>What happens when you limit sharing for an account you hold jointly?</b>	Your choices will apply to everyone on your account—unless you tell us otherwise.
Definitions	
<b>Affiliates</b>	Companies related by common ownership or control. They can be financial and non-financial companies.

<b>Non-affiliates</b>	Companies not related by common ownership or control. They can be financial and non-financial companies.
<b>Joint marketing</b>	A formal agreement between non-affiliated financial companies that together market financial products or services to you.

**Form to Limit Sharing**

<b>Name</b>		<p style="text-align: center;"><b><u>Send to:</u></b></p> <p style="text-align: center;">KCG Investment Advisory Services LLC 315 Commercial Drive C1 Savannah GA 31406</p> <p style="text-align: center;"><b><u>Or</u></b></p> <p style="text-align: center;"><a href="mailto:kgood@kcginvestmentadvisory.com">kgood@kcginvestmentadvisory.com</a></p>
<b>Address</b>		
<b>Additional Notes</b>		